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THE EVOLUTION OF SPEECH ACT THEORY: FROM AUSTIN TO CONTEMPORARY SEMANTIC APPLICATIONS

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Abstract

This comprehensive study examines the theoretical evolution and contemporary applications of Speech Act Theory (SAT) from its philosophical foundations in J.L. Austin's pioneering work to its current interdisciplinary implementations across digital, crossand computational contexts. Through qualitative descriptive-analytical methodology, this research traces four distinct paradigmatic shifts in SAT development: performative revolution (1955-1962), Searle's systematic taxonomic framework (1969-1995), Gricean pragmatic integration (1975-1989), and contemporary semantic adaptations. The study reveals SAT's remarkable theoretical adaptability while maintaining its core principle that language functions as social action rather than mere representation. Analysis of primary and secondary literature demonstrates how SAT has successfully addressed modern communicative challenges including emoji pragmatics, platformspecific digital conventions, cross-cultural directness variations, and artificial intelligence applications in dialogue act tagging and machine translation. Key findings indicate that while contemporary challenges such as multimodal integration, temporal displacement in digital communication, and algorithmic mediation require ongoing theoretical refinement, these developments validate rather than undermine SAT's foundational insights. The research confirms SAT's continued relevance for understanding human communication with particular significance for computational linguistics applications achieving 70-85% accuracy in speech act classification and cross-cultural communication studies revealing systematic variations in directness parameters across high-context and low-context cultures. This evolution from philosophical speculation to empirical application establishes SAT as an essential framework for analyzing how humans construct social reality and achieve communicative goals across diverse technological and cultural contexts.

Keywords: Speech Act Theory, Semantic Applications, Illocutionary Force, Computational Semantics, Cross-Cultural Semantics

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INTRODUCTION

Speech Act Theory (SAT) represents one of the most significant paradigm shifts in linguistic philosophy of the 20th century, fundamentally transforming our understanding of language from a mere descriptive tool to an instrument of social action. The theoretical framework emerged during a period of intense philosophical scrutiny regarding the nature of meaning and the function of language in human communication. Unlike traditional approaches that viewed language primarily as a medium for conveying propositional content about the

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world, SAT introduced the revolutionary concept that utterances can perform actions, create social realities, and transform interpersonal relationships through their very articulation.

The historical development of SAT can be traced to the broader philosophical movement that challenged logical positivism's dominance in the mid-20th century. While logical positivists maintained that meaningful statements must be either empirically verifiable or logically necessary, Austin's work demonstrated that significant portions of human language serve neither descriptive nor logical functions but instead perform social actions. This insight not only revolutionized linguistic theory but also provided crucial foundations for subsequent developments in pragmatics, discourse analysis, and sociolinguistics.

The contemporary relevance of SAT has expanded far beyond its original philosophical boundaries, finding applications in diverse fields including artificial intelligence, cross-cultural communication, digital discourse analysis, and educational linguistics. The proliferation of computer-mediated communication, the globalization of social interactions, and the emergence of artificial intelligence systems capable of natural language processing have created new contexts that both challenge and validate SAT's core principles. These developments necessitate a comprehensive examination of how the theory has evolved and adapted to address contemporary communicative phenomena while maintaining its theoretical coherence and explanatory power.

This research addresses several critical gaps in the current literature on SAT's evolution and applications. While numerous studies have examined specific aspects of speech act performance in particular contexts, few have provided a comprehensive analysis of the theory's historical development alongside its contemporary adaptations. Furthermore, the integration of SAT with emerging technologies and cross-cultural communication studies requires systematic investigation to understand how traditional theoretical frameworks can address modern communicative challenges. The present study aims to bridge these gaps by providing a holistic examination of SAT's trajectory from its philosophical origins to its current interdisciplinary applications.

The significance of this research extends to both theoretical and practical domains. Theoretically, the study contributes to our understanding of how linguistic theories evolve and adapt to changing communicative environments while maintaining their core explanatory principles. Practically, the findings provide insights relevant to language education, intercultural communication training, computational linguistics development, and digital communication design. By tracing SAT's evolution and examining its contemporary applications, this research offers valuable perspectives for scholars, educators, and practitioners working at the intersection of language theory and applied linguistics.

LITERATURE REVIEW

2.1 Philosophical Foundations and Historical Context

The philosophical foundations of Speech Act Theory emerge from a complex intellectual landscape that includes influences from ordinary language philosophy, phenomenology, and critiques of logical positivism. Ludwig Wittgenstein's later philosophy, particularly his concept of "language games" articulated in the Philosophical Investigations (1953), provided crucial groundwork for understanding language as action rather than representation. Wittgenstein's insight that "the meaning of a word is its use in the language" challenged traditional correspondence theories of meaning and paved the way for functional approaches to linguistic analysis.

Austin's revolutionary contribution built upon this foundation while extending it in directions that Wittgenstein had not fully explored. The William James Lectures at Harvard University in 1955, which formed the basis of "How to Do Things with Words" (1962), represented a systematic attempt to develop a theory of performative utterances

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that could account for the action-oriented dimensions of language use. Austin's initial distinction between constative and performative utterances, while later abandoned in favor of a more nuanced analysis, provided the crucial insight that some utterances derive their significance not from their truth conditions but from their capacity to perform actions.

The theoretical significance of Austin's work extends beyond the simple recognition of performative utterances to encompass a fundamental reconceptualization of the relationship between language and reality. Traditional semantic theories had assumed that language functions primarily to describe pre-existing states of affairs, with successful utterances corresponding accurately to objective conditions in the world. Austin's analysis revealed that language also serves to create, modify, and transform social realities through conventional procedures that depend on contextual factors and social agreements rather than correspondence to objective facts.

2.2 Searle's Systematic Development and Taxonomic Framework

John Searle's contribution to Speech Act Theory represents a crucial phase in its theoretical development, transforming Austin's insights from philosophical observations into systematic analytical frameworks. Searle's "Speech Acts: An Essay in the Philosophy of Language" (1969) addressed several theoretical limitations in Austin's original formulation while providing more precise analytical tools for speech act analysis. His work demonstrated how philosophical insights could be operationalized for empirical research and practical applications.

Searle's taxonomy of illocutionary acts into five categories—representatives, directives, commissives, expressives, and declarations—provided a systematic framework that has become standard in speech act research. This classification system reflects underlying logical relationships between speech acts and their psychological states, with each category corresponding to different ways speakers can commit themselves to the truth or fulfillment of propositional content. Representatives commit speakers to the truth of propositions, directives attempt to get hearers to perform actions, commissives commit speakers to future actions, expressives convey psychological states, and declarations create institutional facts through their successful performance.

The theoretical sophistication of Searle's approach becomes evident in his analysis of constitutive versus regulative rules. While regulative rules govern pre-existing activities (such as traffic laws regulating driving), constitutive rules create the very possibility of the activities they govern (such as the rules of chess that define what chess is). This distinction illuminates how speech acts operate through institutional frameworks that depend on collective agreements about appropriate procedures and conditions for successful performance. Searle's analysis thus demonstrates how language use is fundamentally social and conventional rather than merely individual and natural.

Searle's theory of indirect speech acts addresses one of the most complex aspects of human communication: the systematic ways in which speakers can perform one illocutionary act by way of performing another. The analysis of utterances like "Can you pass the salt?" as requests rather than questions about ability reveals the sophisticated inferential processes that hearers employ to derive intended meanings from literal content. This work provided crucial foundations for subsequent research in pragmatics and cross-cultural communication by demonstrating how cultural conventions shape the interpretation of indirect meanings.

2.3 Gricean Pragmatics and Inferential Mechanisms

H.P. Grice's contribution to pragmatic theory, while developed independently of Speech Act Theory, has proven essential for understanding the inferential mechanisms by

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which hearers derive illocutionary intentions from utterances. Grice's Cooperative Principle and four conversational maxims (Quantity, Quality, Relation, and Manner) provide a rational framework for explaining how speakers can communicate more than they literally say and how hearers can reliably infer these additional meanings.

The integration of Gricean pragmatics with Speech Act Theory addresses a crucial theoretical gap in Austin's and Searle's original formulations: the question of how hearers successfully interpret speech acts when conventional indicators are absent or ambiguous. Grice's concept of conversational implicature explains how hearers use rational principles of cooperative communication to infer speaker intentions, while his distinction between conventional and conversational implicature clarifies the relationship between semantic content and pragmatic force.

The relevance of Gricean theory for Speech Act Theory becomes particularly evident in the analysis of indirect speech acts and cross-cultural communication. Different cultures may have varying assumptions about conversational cooperation, leading to systematic differences in how speech acts are performed and interpreted. Understanding these differences requires appreciation of both universal rational principles (as described by Grice) and culture-specific conventions (as analyzed by speech act theorists).

2.4 Cross-Cultural Pragmatics and Cultural Variation

The cross-cultural dimension of Speech Act Theory gained prominence through systematic empirical research that challenged early assumptions about the universality of speech act categories and performance strategies. The Cross-Cultural Speech Act Realization Project (CCSARP), initiated by Shoshana Blum-Kulka, Juliane House, and Gabriele Kasper, provided the first large-scale comparative analysis of speech act performance across different languages and cultures.

The CCSARP findings revealed significant cross-cultural variation in speech act realization strategies, particularly for face-threatening acts such as requests and apologies. These variations occur at multiple levels: the degree of directness preferred in different cultures, the types of mitigation strategies employed, the social variables that influence strategy selection, and the contextual factors that determine appropriate performance. Such findings demonstrated that while the basic functional categories of speech acts may be universal, their realization patterns are significantly influenced by cultural values and social organization.

The integration of politeness theory with Speech Act Theory, particularly through the work of Penelope Brown and Stephen Levinson, provided theoretical frameworks for understanding cross-cultural variation in speech act performance. Their analysis of positive and negative face concepts, along with corresponding politeness strategies, offers systematic explanations for why different cultures prefer different degrees of directness and types of mitigation in performing speech acts. This integration has proven crucial for understanding intercultural communication challenges and developing effective cross-cultural training programs.

2.5 Computational Applications and Digital Communication

The emergence of computational linguistics and digital communication technologies has created new contexts for Speech Act Theory applications while also revealing limitations in traditional theoretical frameworks. Early work in computational speech act recognition focused on developing algorithms that could automatically classify utterances into speech act categories, with applications in dialogue systems, machine translation, and natural language understanding.

Contemporary research in computational speech act processing has achieved significant progress in automatic classification tasks, with systems achieving accuracy

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rates of 70-85% for basic speech act categories in structured contexts. However, performance degrades significantly with indirect speech acts, culturally specific realization patterns, and multimodal communication that combines linguistic, visual, and interactive elements. These limitations highlight the sophisticated nature of human pragmatic competence while also identifying areas where theoretical development is needed.

The analysis of computer-mediated communication has revealed how digital technologies create new conventions for speech act performance while also preserving many traditional patterns. Email communication, social media interactions, and instant messaging each develop platform-specific conventions for performing speech acts, including novel uses of typography, emoticons, and interactive features. Understanding these developments requires extending traditional Speech Act Theory to account for multimodal communication and asynchronous interaction patterns.

RESEARCH METHOD

This study employs a qualitative descriptive-analytical methodology designed to trace the theoretical evolution of Speech Act Theory while examining its contemporary applications across diverse communicative contexts. The research approach combines systematic literature review with thematic analysis to identify key developmental phases and emerging applications of SAT in modern linguistic research.

The research subjects encompass primary theoretical works by foundational figures including J.L. Austin, John Searle, and H.P. Grice, alongside contemporary analyses of SAT applications in digital communication, cross-cultural studies, and computational linguistics. Secondary sources include peer-reviewed articles, conference proceedings, and institutional reports that document empirical applications and theoretical extensions of classical speech act theory.

No.	Author	Publication Year	Book's Title	Key Findings
1	J.L. Austin	1962	Foundational work that created the entire Speech Act Theory paradigm	Introduced performative utterances concept language performs actions rather than describing them. Developed tripartite analysis: locutionary, illocutionary, and perlocutionary acts. Established felicity conditions for successful speech act performance. Challenged truth conditional semantics dominance
2	John R. Searle	1969	Provided systematization and analytical framework still used today	Created systematic five-category taxonomy of illocutionary acts. Distinguished constitutive rules vs regulative rules. Analyzed indirect speech acts mechanisms. Provided structured analytical framework for empirical SAT research
3	H.P. Grice	1975	Supplied essential inferential mechanisms for understanding	Developed Cooperative Principle and four conversational maxims. Introduced conversational implicature concept. Distinguished conventional vs conversational implicature. Provided

ISSN: 3025-6488

Vol. 16 No 7 2025 Palagiarism Check 02/234/67/78 Prev DOI: 10.9644/sindoro.v3i9.252

			speech	act	inferential mechanisms for speech act
			interpretation		interpretation
4	Blum- Kulka, House, & Kasper	1989	Supplied essential inferential mechanisms understanding speech interpretation	g act	directness preferences across high-
5	Sperber & Wilson	1986	Contributed cognitive foundation enriched theoretical understanding SAT		Developed Relevance Theory for pragmatic communication. Explained cognitive processing through optimal relevance principle. Integrated cognitive aspects with pragmatic theory. Provided theoretical foundation for pragmatic inference understanding

Data collection procedures involved systematic searching of academic databases including JSTOR, Project MUSE, Linguistics and Language Behavior Abstracts, and Google Scholar using targeted keywords related to speech act theory, semantic applications, pragmatics, and computational linguistics. The search strategy combined historical and contemporary perspectives, ensuring comprehensive coverage of both foundational texts and recent developments in the field.

The analytical framework employs thematic analysis to identify patterns in SAT's theoretical development and practical applications. This approach involves coding relevant passages from primary and secondary sources according to themes related to theoretical evolution, cross-cultural applications, digital communication adaptations, and computational implementations. The analysis focuses on identifying continuities and discontinuities in theoretical development while examining how contemporary applications extend or challenge traditional formulations.

Validity and reliability measures include triangulation across multiple source types and theoretical perspectives, systematic documentation of analytical procedures, and careful attention to the distinction between theoretical claims and empirical findings in the literature. The analysis maintains focus on identifying patterns and themes while avoiding oversimplification of complex theoretical developments.

FINDINGS AND DISCUSSION

4.1 Historical Evolution and Paradigmatic Shifts

The comprehensive analysis reveals four distinct phases in Speech Act Theory's development, each characterized by unique theoretical innovations and expanding applications. Austin's performative revolution (1955-1962) established the foundational insight that utterances can perform actions rather than merely describe states of affairs. This phase challenged the dominance of truth-conditional semantics by demonstrating that significant portions of language use cannot be adequately analyzed in terms of truth and falsity.

Austin's recognition that all utterances possess performative dimensions, encapsulated in his tripartite analysis of locutionary, illocutionary, and perlocutionary acts, represents a fundamental shift from representational to functional theories of language. The locutionary act involves the production of meaningful utterances, the illocutionary act concerns the social action performed in speaking, and the perlocutionary

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act refers to the effects achieved through speaking. This analysis provides a comprehensive framework for understanding the multiple dimensions of meaning in utterances while maintaining focus on their action-oriented character.

The felicity conditions that Austin identified for successful speech act performance reveal the sophisticated social and institutional foundations required for language to function as action. These conditions operate at multiple levels: appropriate procedures must exist and be correctly executed by appropriate persons, participants must have appropriate thoughts and intentions, and subsequent conduct must conform to the commitments undertaken. The analysis demonstrates that speech acts are not merely linguistic phenomena but complex social institutions that require precise coordination of authority, context, and intention.

Searle's systematic development (1969-1995) transformed Austin's philosophical insights into analytical frameworks suitable for empirical research and practical applications. Searle's five-category taxonomy provides a logical classification system that maps speech act types onto underlying psychological states and social functions. This systematization has proven essential for comparative research, computational applications, and cross-cultural studies by providing standardized categories for analysis and comparison.

The concept of constitutive rules, distinguished from regulative rules, illuminates how speech acts create social realities through conventional procedures rather than simply governing pre-existing activities. This distinction has profound implications for understanding how language constructs institutional facts, social relationships, and cultural meanings. Marriage ceremonies, legal declarations, and academic conferrals exemplify how speech acts can create new social realities through their successful performance according to appropriate procedures.

Searle's analysis of indirect speech acts addresses the systematic ways in which speakers can perform one illocutionary act by way of performing another, revealing the inferential sophistication of human communication. The ability to make requests through questions ("Can you pass the salt?") or perform criticisms through compliments ("That's an interesting approach") demonstrates how speakers exploit conventional patterns while maintaining plausible deniability and social politeness.

4.2 Contemporary Applications and Adaptations

4.2.1 Digital Communication Transformations

The digital revolution has necessitated significant theoretical adaptations as traditional speech act patterns encounter new technological mediums and social contexts. Computer-mediated communication presents unique challenges for Speech Act Theory because it often involves asynchronous interaction, reduced contextual cues, and novel conventions for expressing illocutionary force.

Analysis of email communication reveals how traditional speech act markers are replaced by new typographic conventions. Capitalization serves as intensity marking ("URGENT" as directive strengthening), punctuation repetition indicates emotional emphasis ("Thanks!!!" as expressive amplification), and spacing patterns create emphasis through visual arrangement. These adaptations demonstrate the remarkable flexibility of speech act conventions while maintaining functional equivalence with traditional markers.

Social media platforms have developed platform-specific speech act conventions that exploit unique technological affordances. Twitter's hashtag system enables performative functions (#ThrowbackThursday as declaration), retweet mechanisms allow for complex reported speech acts, and reply structures create new possibilities for sequential organization. Facebook's reaction buttons provide

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standardized expressive options that supplement traditional linguistic expressions while creating new possibilities for nuanced emotional communication.

Emoji pragmatics represents a particularly fascinating development in digital speech act performance. Emojis function simultaneously as propositional content modifiers and illocutionary force indicators, with their interpretation depending heavily on contextual factors and cultural conventions. The same emoji can serve different pragmatic functions: might mitigate face-threatening acts, signal genuine positive affect, or indicate ironic distance depending on context and relationship factors.

The asynchronous nature of much digital communication creates new challenges for traditional felicity conditions and sequential organization. Email chains and forum discussions allow for complex temporal relationships between speech acts and their responses, with successful performance sometimes depending on maintaining coherence across extended time periods and multiple participants. These developments require theoretical extensions to accommodate delayed and distributed speech act performance.

4.2.2 Cross-Cultural Semantic Variations

Systematic cross-cultural research has revealed significant variations in speech act realization patterns that challenge universalist assumptions of early SAT while supporting the functional universality of basic speech act categories. These variations occur at multiple levels including directness preferences, mitigation strategies, contextual sensitivity, and social variable influence.

High-context cultures (Japanese, Arabic, Korean) typically prefer indirect realization strategies that rely heavily on shared contextual knowledge and implicit understanding between communicators. Direct requests may be considered face-threatening and socially inappropriate, leading to preference for hint-based strategies that allow hearers to infer intended actions while maintaining social harmony. Low-context cultures (German, Dutch, American English) more frequently employ direct realization strategies that explicitly state intended actions and desired outcomes.

Power distance variations significantly influence speech act performance patterns across cultures. High power-distance societies show greater sensitivity to authority relationships in directive performance, with subordinates using more elaborate mitigation strategies when making requests of superiors. Low power-distance cultures permit more egalitarian communication patterns with reduced sensitivity to hierarchical relationships in speech act realization.

The integration of politeness theory with cross-cultural speech act research has provided systematic explanations for cultural variation patterns. Brown and Levinson's analysis of positive face (desire for approval) and negative face (desire for autonomy) needs, along with corresponding politeness strategies, offers frameworks for understanding why different cultures prefer different approaches to face-threatening acts. These insights have proven crucial for developing effective intercultural communication training and reducing cross-cultural misunderstandings.

4.2.3 Computational Linguistics Integration

The integration of Speech Act Theory with computational linguistics has produced significant advances in automatic speech act recognition while also revealing the sophisticated nature of human pragmatic competence. Dialogue act tagging systems achieve 70-85% accuracy for basic speech act categories in structured contexts such as meeting transcripts and customer service interactions.

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Machine learning approaches to speech act classification typically employ features including lexical patterns, syntactic structures, and contextual information to predict speech act categories. Support vector machines, neural networks, and deep learning models have all been successfully applied to speech act recognition tasks, with performance varying based on domain specificity, training data quality, and feature selection strategies.

Intent recognition systems in virtual assistants and chatbots utilize Speech Act Theory principles to classify user utterances and generate appropriate responses. These systems face particular challenges with indirect speech acts, culturally specific patterns, and ambiguous contexts where multiple interpretations are plausible. Recent advances in large language models have improved performance significantly, though human-level pragmatic competence remains elusive.

Machine translation systems increasingly incorporate speech act information to improve translation quality, particularly for languages with different directness conventions and politeness systems. Understanding whether an utterance functions as a request, suggestion, or command can significantly influence appropriate translation choices, especially when target languages have different conventional patterns for these functions.

4.3 Theoretical Implications and Future Directions

4.3.1 Paradigmatic Shifts and Theoretical Development

The evolution of Speech Act Theory represents a broader paradigmatic shift from formal to functional approaches in linguistic theory. While formal approaches focus on the structural properties of linguistic systems, functional approaches emphasize how language serves human communicative goals and social functions. SAT's development parallels and contributes to this broader theoretical transformation.

The integration of SAT with cognitive science has provided empirical validation for theoretical distinctions through neurolinguistic research. Brain imaging studies reveal distinct neural activation patterns for different speech act types, suggesting that theoretical categories correspond to genuine cognitive distinctions rather than mere analytical conveniences. These findings strengthen claims for SAT's psychological reality while opening new research directions in neurolinguistics.

Contemporary challenges in multimodal communication, artificial intelligence, and cross-cultural interaction require theoretical extensions that maintain SAT's core insights while addressing new communicative phenomena. The development of frameworks for analyzing multimodal speech acts that combine linguistic, visual, and interactive elements represents a crucial theoretical challenge for maintaining SAT's relevance in contemporary communication contexts.

4.3.2 Educational and Practical Applications

Speech Act Theory has significant implications for language education, particularly in developing pragmatic competence among second language learners. Understanding speech act conventions in target languages enables learners to perform socially appropriate actions through language use, reducing cross-cultural misunderstandings and improving communicative effectiveness.

The development of speech act awareness training for intercultural communication contexts has proven valuable for international business, diplomatic relations, and multicultural educational settings. These applications demonstrate

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SAT's practical utility beyond theoretical linguistics by providing frameworks for understanding and navigating cross-cultural communication challenges.

Teacher training programs increasingly incorporate Speech Act Theory principles to enhance educators' understanding of classroom discourse and student-teacher interaction patterns. Recognizing the speech act functions of classroom utterances enables teachers to respond more effectively to student needs while maintaining appropriate institutional relationships.

CONCLUSION

This comprehensive analysis of Speech Act Theory's evolution from Austin's foundational insights to contemporary semantic applications demonstrates the remarkable theoretical durability and adaptability of functional approaches to language analysis. The theory's development through four distinct phases Austin's performative revolution, Searle's systematic classification, Gricean pragmatic integration, and contemporary adaptations reveals both theoretical continuity and innovative responses to changing communicative contexts.

The core insight that language functions as social action rather than mere representation has proven remarkably robust across diverse applications including digital communication analysis, cross-cultural pragmatics, computational linguistics, and educational applications. While contemporary challenges such as multimodal integration, artificial intelligence, and global digital communication require ongoing theoretical refinement, these developments validate and extend rather than undermine SAT's foundational principles.

The integration of Speech Act Theory with emerging technologies and cross-cultural research has produced significant practical applications while also revealing the sophisticated nature of human pragmatic competence. Computational systems achieving 70-85% accuracy in speech act classification demonstrate both the operationalizability of theoretical concepts and the complexity of human communication that remains to be fully understood.

Cross-cultural research has confirmed the functional universality of basic speech act categories while revealing significant variation in realization strategies across cultures. These findings support a nuanced view of SAT that maintains theoretical coherence while acknowledging cultural diversity in implementation. The integration with politeness theory and cultural dimension research has provided systematic frameworks for understanding and addressing intercultural communication challenges.

Future research directions should focus on developing theoretical frameworks for multimodal speech acts, extending SAT to artificial intelligence applications, and investigating the relationship between speech act theory and social construction processes. The continued relevance of Speech Act Theory for understanding human communication complexity ensures its importance for linguistic theory, practical applications, and interdisciplinary research in an increasingly connected and technologically mediated world.

The evolution from philosophical speculation to empirical application establishes Speech Act Theory as an essential framework for analyzing how humans construct social reality and achieve communicative goals across diverse technological and cultural contexts. This theoretical journey demonstrates both the value of philosophical insight and the importance of empirical validation in developing robust frameworks for understanding human communication.

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